

# e-Infoline

FEBRUARY 2023

*Celebrating*  
**CDSL's Silver Jubilee**



**CDSL**

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**25<sup>TH</sup> YEAR**

Empowering Atmanirbharta

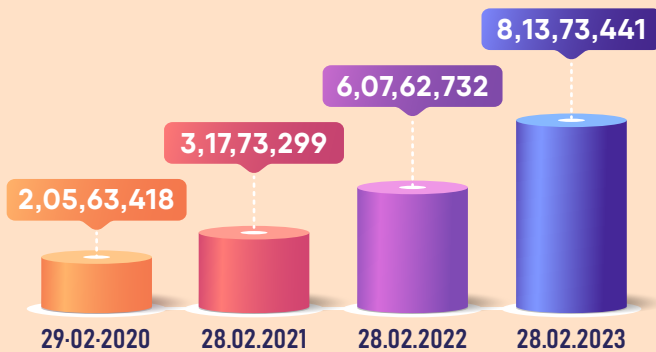
**25<sup>th</sup> Year of Embracing Progress  
to Foster Self-Sufficiency for Every  
Investor.**

*— Thank You —*  
**for Your Support & Belief in  
India's Largest Depository**

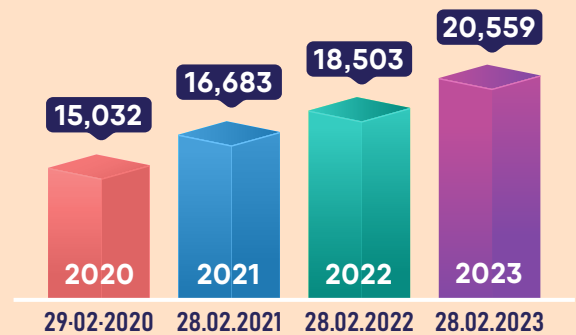
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## e-Infoline Statistics

### » TOTAL ACTIVE BO ACCOUNTS



### » DATA OF UNIQUE COMPANIES ADDED



**CLICK HERE**

**to download list of ISINs admitted in December 2022- February 2023**

## NEW DPs REGISTERED FROM DEC 2022 - FEB 2023

Sr. No.	DP ID	Name of the DPs
1	12096700	Gainn Fintech Private Limited
2	12096800	Wealthyin Broking Private Limited
3	12096900	Finopia Fintech Private Limited
4	13097100	Punjab National Bank
5	12097200	Reliablennivesh Global Securities & Wealth Management Services Private Limited
6	12097300	Bharathi Share Broking Private Limited
7	12097400	BOB Capital Markets Limited

# CLIENT UNPAID SECURITIES PLEDGEE ACCOUNT

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CDSL is pleased to release the operational modalities about the process of handling of unpaid securities by TM/CM to prevent any kind of misuse of such unpaid securities. Trading Members (TMs) and Clearing Members (CMs) and trading clients are advised to implement the process and initiate suitable back-office changes, wherever applicable.

## The highlights of the aforesaid operational modalities are as under :

### **A. Opening of Client Unpaid Securities Pledgee Account :**

- 1.** TM/CM will be required to maintain a new demat account viz. 'Client Unpaid Securities Pledgee Account (CUSPA)'
- 2.** PAN of CUSPA and corresponding settlement accounts of a TM/CM should be identical or else the requisite transfer and pledge of securities will fail.
- 3.** TM/CM, executing transactions through their Easiest login should group the CUSPA with their other existing grouped accounts. While grouping PAN entered at the time of opening of CUSPA and PAN captured for corresponding CM's Pool Account will be matched.

- 4.** TM/CM may choose to open Stock Exchange (SE) / Clearing Corporation (CC) wise CUSPA or alternatively may have a single CUSPA irrespective of CC / SE combination.
- 5.** TM/CM may open a CUSPA account with their existing DP where they hold their TM/CM accounts by providing a consent in a prescribed form. However, if TM/CM opts to open CUSPA with a DP with whom they do not have a TM/CM account, then TM/CM will be required to follow the account opening process as per the CDSL DP Operating Instructions.

## **B. Transfer of Pay-out Securities to Unpaid Clients:**

1. Pay-out securities can be transferred to the demat account of the respective clients, directly from the TM/CM Pool Account within one working day of the pay-out.

2. Unpaid securities (i.e., the securities that have not been paid for in full by the client), can be transferred to the demat account of the unpaid client in the following manner.

I. While transferring pay-out of securities to the unpaid client, entering Transaction Identifier (i.e., transfer for unpaid client), UCC details, CUSPA will be mandatory and can be a part of the annexure to existing Delivery Instruction Slip.

II. On successful validation of UCC details entered in the transaction vis-à-vis UCC details linked to the demat account of the client, securities shall be credited in the demat account of unpaid client and simultaneously an auto pledge instruction will be setup from demat account of unpaid client in favour of the corresponding CUSPA of a TM/CM. Hence such unpaid securities will be reflected under 'Pledge' balance in the demat account of unpaid client and 'Pledgee' balance will be reflected in CUSPA.

## **C. Release of Securities if Client Fulfils Funds Obligation :**

1. If unpaid client makes the payment within 5 trading days of payout, pledge will be released.

2. Securities can be unpledged in partial or full quantity.

3. The pledge will be auto released if no action is taken within seven trading days after payout.

4. CUSPA Unpledge Request form may also be provided to the DP in the prescribed format.

## **D. Confiscation / Invocation of Securities if Client doesn't Fulfil Funds Obligation :**

1. If the client does not fulfil its funds obligation, TM/CM can dispose off such unpaid securities in the market within five trading days after the pay-out by following the required procedure.

2. TM/CM, before disposing of the securities, is required to give an intimation (email /SMS) to the client one trading day before such sale.

For detailed procedure, guidelines and formats kindly refer to  
**CDSL communique no. CDSL/OPS/DP/SETTL/2023/151**



## WHAT'S NEW?

# EXTENDED TIMELINE OF AADHAAR SEEDING TILL 31<sup>ST</sup> MARCH 2023

We refer to Communique no. CDSL/OPS/DP/POLICY/2022/178 dated March 31, 2022. It was informed that in the case PAN is not seeded with AADHAAR before the date specified by the Government, it will be considered an invalid PAN.

Further, vide Communique no. CDSL/OPS/DP/POLICY/2022/167 dated March 25, 2022, Depository Participants (DPs) were reminded to ensure compliance by the extended timeline of March 31, 2023,

for Aadhaar seeding (linkage of Aadhaar with PAN).

Demat Account Holders are once again requested to link their Aadhaar with PAN well before timelines and ensure its status is updated in PAN verification status.

In case PAN is not seeded with Aadhaar by March 31, 2023, EOD, the existing demat accounts for the specified client categories as directed by Government will be considered invalid, and the demat accounts will be frozen for debits.

To link your Aadhaar and Pan Card, click on the link below.



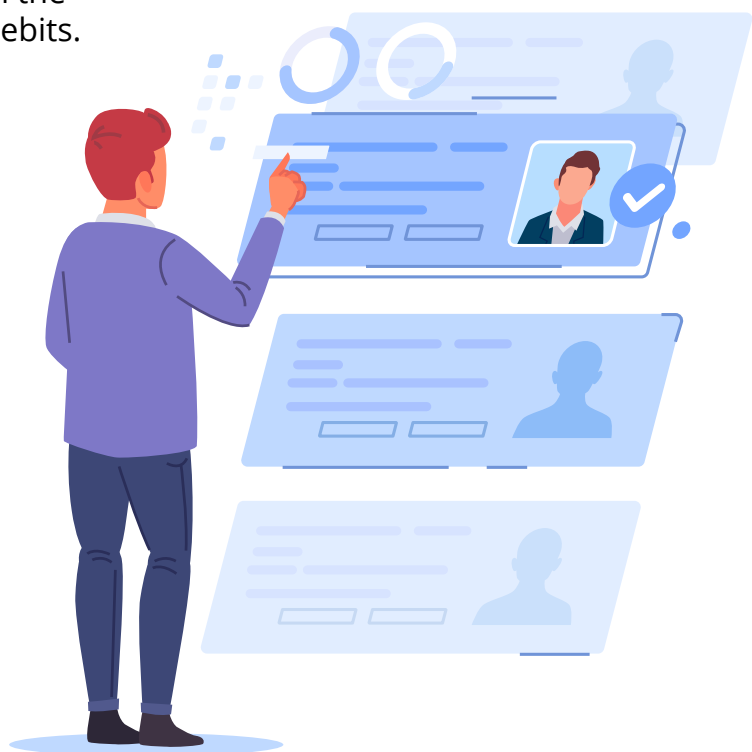
# UPDATION OF NOMINATION DETAILS IN DEMAT ACCOUNT BEFORE 31<sup>ST</sup> MARCH 2023

With reference to Circular no CDSL/OPS/DP/POLICY/2022/107 dated February 25, 2022, regarding the issuance of SEBI circular no SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/23 dated February 24, 2022, for Nomination of Eligible Trading and Demat Accounts.

All existing eligible demat account holder/s shall provide the choice of nomination as per the option given in paragraph 2 of the SEBI circular dated July 23, 2021, on or before March 31, 2023, failing which the demat account shall be frozen for debits.

Therefore, existing demat account holders are requested to approach their Depository Participants and submit the nomination details or opt out of nomination as per the prescribed formats.

For more details, kindly refer to Circular no CDSL/OPS/DP/POLICY/2023/49



# DEMAT DEBIT AND PLEDGE INSTRUCTION (DDPI)

SEBI vide circular no. SEBI/HO/MIRSD/DoP/P/CIR/2022/44 dated April 04, 2022, issued guidelines regarding the execution of 'Demat Debit and Pledge Instruction' (DDPI) for transfer of securities towards deliveries/settlement obligations and pledging/re-pledging of securities.

DDPI was thus implemented in September 2022. Also, considering the representations made by market intermediaries and in consultation with Broker Associations and Depositories, it was decided to widen the scope of DDPI to include the below vide CDSL communique no. CDSL/OPS/DP/POLICY/2022/585 dated October 7, 2022.

- Mutual Fund transactions being executed on Stock Exchange order entry platforms; and
- Tendering shares in open offers through Stock Exchange platforms.

DPs and BOs are informed to take note that the implementation of the above is released on January 20, 2023, and is effective from January 21, 2023.





## CDSL's MYEASI MOBILE APP

CDSL's Myeasi Mobile App is for investors using CDSL's easi/easiest facility. Investors can monitor and transact in their CDSL Demat account using Myeasi Mobile App. Myeasi is designed with adaptive technology such that it fits in all Smartphone/Tab screen sizes. Investors can log-in to the App using their easi/easiest log-in credentials. Myeasi Mobile App is available for download from Google Play and Apple Store.

### »FEATURES

- **Holding Details:** View details of your holding with a valuation as of previous day's, closing price.
- **Transaction Inquiry:** View transactions for the last 7 days in your demat a/c.
- **Corporate Announcement:** Monitor corporate announcements for securities held in your account and of 25 additional ISINs of your choice.
- **Set up Transaction (Trusted mode):** Easiest users can transfer shares using trusted mode to any four pre-notified CDSL accounts.
- **Freeze/Unfreeze Transactions:** Easiest users can view and set up freeze and unfreeze transactions.
- **Miscellaneous:**
  - \* Edit profile (email ID and mobile number)
  - \* Easi users can upgrade to easiest
  - \* Edit grouping (i.e. view multiple demat accounts through a single log-in id)
  - \* Register for CDSL's SMART (SMS Alert) facility.
  - \* Download holding in PDF format

To register for easi/easiest facility, kindly visit [www.cdslindia.com](http://www.cdslindia.com)



## **CONDUCTING OF AGM THROUGH VIDEO CONFERENCING (VC) OR OTHER AUDIO-VISUAL MEANS (OAVM)**

In view of the situation arising due to the COVID-19 global pandemic, the general meetings of the companies shall be conducted as per the guidelines issued by the Ministry of Corporate Affairs (MCA) vide Circular No. 14/2020 dated April 8, 2020, Circular No.17/2020 dated April 13, 2020, and Circular No. 20/2020 dated May 05, 2020.

MCA has further issued General Circular No. 10/2022 and 11/2022 dated December 28, 2022, by which, Companies can conduct their AGMs, EGMs and postal ballots in accordance with the framework provided in the aforementioned circulars up to September 2023. Hence, the forthcoming AGM/EGM will be held

through video conferencing (VC) or other audio-visual means (OAVM). Hence, Members can attend and participate in the ensuring AGM/EGM through VC/OAVM.

We are pleased to inform you that the facility of casting votes by shareholders using remote e-voting as well as the e-voting system on the date of the EGM/AGM and Video Conferencing is being provided by CDSL.

You may contact Mr. Anand Tirodkar at 0222-23058615 / [anandt@cdslindia.com](mailto:anandt@cdslindia.com) or Mr. Deborshi Choudhury at 022- 2305 8788 / [deborshic@cdslindia.com](mailto:deborshic@cdslindia.com).

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## **EMAIL ADDRESS UPDATION FACILITY FOR CDSL DEMAT ACCOUNTS**

CDSL is pleased to introduce its new email address updation service. It's available for companies whose shareholders have not provided their email addresses in their demat account with the Depository Participant.

For the said activity, CDSL shall send an SMS with a demat account-specific link to the registered mobile number of the shareholder. The link is used to initiate the process of email address updation of the

shareholders of the company. CDSL will also send emails to those shareholders of the company who have opted for not downloading their email addresses to the RTA.

For a detailed procedure, please refer to CDSL's communique CDSL/ADM/RTA/GENRL/2020/71 dated June 11, 2020.

## **INVESTOR AWARENESS PROGRAMMES (IAPs)**

CDSL IPF Trust has conducted various IAPs across India. DPs/CMs also participated in these IAPs. These programs aimed to educate investors about Depository Services, and Investing in Capital Markets.



### **NADT IAP held at Nagpur on 3rd Jan 2023, for IRS Officers.**

Group photo: (Starting from left) Shri. Yogesh Kundnani, Shri. Balkrishna V. Chaubal, Shri. Sidhartha Pradhan, Shri. Aditya Raj & Shri. Sanjay Nunes.



**IITF Event IAP at Delhi on 14th Nov 2022.**

Conducted by- SEBI, BSE IPF, CDSL IPF & Manav Rachna University, Faridabad.



## **Electronic Gold Receipts IAP done by Our Kolkata Office on 22<sup>nd</sup> Dec 2022.**

IAP is conducted by SEBI, BSE IPF, CDSL IPF, NSDL & Commodity Participants Association of India (CPAI) & Sequel Logistics Private Limited.



**Shri. G Ram Mohan Rao – SEBI, Shri. Moloy Biswas – CDSL IPF,  
Shri. Ranjit Sing & Shri. Sandeep More - BSE IPF, Shri. Narayan Venkat – NSDL,  
Shri. Narinder Wadhwa – CPAI, Shri. Prince Ku Jha - Sequel Logistics Private Limited,  
Shri. Binay Agarwal - National Executive Member.**

## Participation in ANMI Stocktech



The Dignitaries at ANMI-Stocktech Event Held on 20<sup>th</sup> January 2023, at BSE.



**Mr. Nehal Vora,**  
MD & CEO – CDSL,  
Sharing His Thoughts  
at ANMI- Stocktech  
Event in 2023.



CDSL as the Platinum Sponsor at ANMI-Stocktech Event Held on 20<sup>th</sup> January 2023. at BSE.

## CDSL's CSR Initiatives

CDSL endeavors to enrich a healthier & happier life for the people of India. The CSR programs, adopting ethical and social initiatives undertaken with transparency and choosing better corporate governance continuously help us establish this goal.

### GLIMPSES OF OUR CSR INITIATIVES



As a part of CDSL's CSR initiative, we brought fun & games to the children who couldn't go out due to their health. We celebrated Children's day with children between 2-10 years suffering from painful ailments at a young age at SRCC Children's Hospital, Mumbai.



One of our prime focus in our CSR initiatives has been to GO GREEN, as we prefer working and providing paperless services. To promote a greener world, we conducted Tree Plantation under Urban Plantation Initiative. The activity was held at Kendra Vidyalaya, Ahmedabad, in partnership with the Sankalptaru Foundation Organisation. Our Public Interest Director – Prof. Dr. Bimal N. Patel graced this event.





Mumbai being the most buzzing urban destination, CDSL undertook Tree Plantation of 500+ varieties of medicinal, herbs, floral and fruit-bearing plants in the city. The event was conducted under Urban Plantation Initiative in partnership with the Sankalptaru Foundation Organisation.







As part of our Corporate Social Responsibility (CSR) initiative, we have partnered with the SMILE Foundation to deploy a mobile medical vehicle that will provide quality primary healthcare services in the border area of Jaisalmer.

Representatives from the SMILE Foundation visited our office for a presentation on the project. The event was graced by Smt. Rajeshree Sabnavis, who formally handed over the key to the representatives.



## **Open your CDSL DEMAT Account, Today**

**Scan this QR code to proceed with  
seamless online account opening under CDSL DPs.**



CDSL has a host of top-notch DPs spread across the length and breadth of the country.

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